

**University of the Pacific**  
**Donations of Securities: Stocks and Mutual Funds**

*Thank you for your interest in making a gift of stock or mutual funds to University of the Pacific. We are grateful for your support! There are two significant benefits to giving appreciated marketable securities: First, such gifts create an income tax charitable deduction equal to the current fair market value of the securities being given. Second, such gifts normally allow the donor to avoid the capital gains tax otherwise payable on the appreciation in the value of the securities being given.*

During normal business hours please contact Advancement Services at 209-946-2202. Please contact Kristen Meierhoff, Executive Director Advancement Services at 620-757-1100 during Holiday closure if you have questions about these instructions or if you have other types of securities you wish to donate, such as bonds, or if your stocks are held in the form of stock certificates.

**DTC or Electronic Transfer Instructions**

Electronic, or DTC transfer, is the fastest and most secure method of donating securities. Please instruct your broker to transfer the securities to one of Pacific's brokerage accounts listed below.

Donors making gifts at the end of the year should confirm with their broker that the transfer will be completed on or before December 31st.

**Transfer of Mutual Funds**

Unlike securities, transferring ownership of a mutual fund can be a slow and manual process. The re-registration process time varies from fund to fund and can take as long as six to eight weeks. Please instruct your broker to transfer the mutual funds to one of Pacific's brokerage accounts listed below.

Donors making gifts at the end of the year should begin this process six to eight weeks before the end of the year and confirm with their broker the transfer will be completed on or before December 31st.

**Morgan Stanley**

Brookside Business Park  
3421 Brookside Road, Ste. A  
Stockton, CA. 95219  
Mr. Douglas Bott (209) 476-2881  
Ms. Debora Kenyon (209) 478-2230

Acct# 105-022521  
DTC# 0015  
Ref: Donor Name\*

**Merrill Lynch**

3255 West March Lane, Ste. 110  
Stockton, CA. 95219  
Mr. Michael Lynch (209) 472-3545  
Ms. Jana Carlson (209) 472-3533

Acct# 243-04050  
DTC# 5198  
Ref: Donor Name\*

**Stifel**

10100 Trinity Parkway Ste. 320  
Stockton, CA 95219  
Mr. Larry Watts (209) 888-2008  
Christy Frank (209) 888-2007  
Lydia Beget-Smith (209) 888-2002

Acct# 1676-4994  
DTC# 0793  
Ref: Donor Name\*

\*In order to properly credit your donation, it is very important your broker includes your name in the reference section of the DTC instructions.

**Wire Transfer for Cash Transfer**

Bank of Stockton  
301 E. Miner Avenue  
Stockton, CA. 95202

Acct Name: University of the Pacific Wire Depository  
Acct# 1215017920  
ABA/Routing # 121101037

**University of the Pacific Tax ID # 94-1156266**